


01-24-01

A/REISSUE

 Jc821 U.S. PTO
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 PTO/SB/50 (08-00)
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REISSUE PATENT APPLICATION TRANSMITTAL

| | | | |
|--|---|--|-----------------------------------|
| Address to: Assistant Commissioner for Patents Box Reissue Washington, DC 20231 | | Attorney Docket No. SONY-R7018 First Named Inventor KAZUNORI OZAWA Original Patent Number 5,870,710 Original Patent Issue Date (Month/Day/Year) 02/09/1999 Express Mail Label No. EK916866565US | |
| APPLICATION FOR REISSUE OF: (Check applicable box) | | <input checked="" type="checkbox"/> Utility Patent <input type="checkbox"/> Design Patent <input type="checkbox"/> Plant Patent | |
| APPLICATION ELEMENTS (37 CFR 1.173) | | ACCOMPANYING APPLICATION PARTS | |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (PTO/SB/56) (Submit an original, and a duplicate for fee processing) 2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Specification and Claims in double column copy of patent format (amended, if appropriate) 4. <input checked="" type="checkbox"/> Drawing(s) (proposed amendments, if appropriate) 5. <input checked="" type="checkbox"/> Reissue Oath/Declaration (original or copy) (37 C.F.R. § 1.175) (PTO/SB/51 or 52) 6. Original U.S. Patent currently assigned? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (If Yes, check applicable box(es)) <input checked="" type="checkbox"/> Written Consent of all Assignees (PTO/SB/53) <input checked="" type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney (PTO/SB/96) | | 7. <input checked="" type="checkbox"/> Statement of status/support for all changes to the claims. See 37 CFR 1.173 (c). 8. <input type="checkbox"/> Original U.S. Patent for surrender <input type="checkbox"/> Ribboned Original Patent Grant <input type="checkbox"/> Statement of Loss (PTO/SB/55) 9. <input checked="" type="checkbox"/> Foreign Priority Claim (35 U.S.C. 119) (if applicable) 10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations 11. <input type="checkbox"/> English Translation of Reissue Oath/Declaration (if applicable) 12. <input type="checkbox"/> Preliminary Amendment 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 14. Other: | |
| 15. CORRESPONDENCE ADDRESS | | | |
| <input type="checkbox"/> Customer Number or Bar Code Label or <input checked="" type="checkbox"/> Correspondence address below (Insert Customer No. or Attach bar code label here) | | | |
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| NAME (Print/Type) | CHARLES P. SAMMUT | | Registration No. (Attorney/Agent) |
| Signature |  | | 28,901 |
| | | Date | January 23, 2001 |

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| REISSUE APPLICATION FEE TRANSMITTAL FORM | | | | | | Docket Number (Optional) SONY-R7018 | | |
|---|--|-------------------------------------|--|--------------------------|--------------|--|---------------------------|-----|
| Claims as Filed - Part 1 | | | | | | | | |
| Claims in Patent | | Number Filed in Reissue Application | (3) Number Extra | Small Entity | | Other than a Small Entity | | |
| | | | | Rate | Fee | Rate | Fee | |
| (A) 20 | Total Claims (37 CFR 1.16(j)) | (B) 75 | *** 55 = | x \$ _____ = | | or | x \$ 18 = 990.00 | |
| (C) 6 | Independent claims (37 CFR 1.16(i)) | (D) 19 | . 13 = | x \$ _____ = | | | x \$ 80 = 1,040.00 | |
| Basic Fee (37 CFR 1.16(h)) | | | | | \$ _____ | | \$ 710.00 | |
| Total Filing Fee | | | | | \$ _____ | OR | \$ 2,740.00 | |
| Claims as Amended - Part 2 | | | | | | | | |
| | (1) Claims Remaining After Amendment | | (2) Highest Number Previously Paid For | (3) Extra Claims Present | Small Entity | | Other than a Small Entity | |
| | | | | | Rate | Fee | Rate | Fee |
| Total Claims (37 CFR 1.16(j)) | *** | MINUS | ** | = | x \$ _____ = | | x \$ _____ = | |
| Independent Claims (37 CFR 1.16(i)) | *** | MINUS | ***** | = | x \$ _____ = | | x \$ _____ = | |
| Total Additional Fee | | | | | \$ _____ | OR | \$ _____ | |
| <p>* If the entry in (D) is less than the entry in (C), Write "0" in column 3.</p> <p>** If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space.</p> <p>*** After any cancellation of claims.</p> <p>**** If "A" is greater than 20, use (B - A); if "A" is 20 or less, use (B - 20).</p> <p>***** "Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (C).</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p><input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____ A duplicate copy of this sheet is enclosed.</p> <p><input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required, or credit any overpayment to Deposit Account No. _____ A duplicate copy of this sheet is enclosed.</p> <p><input type="checkbox"/> A check in the amount of \$ _____ to cover the filing / additional fee is enclosed.</p> <p><input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p> <p>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> <p>January 23, 2001 Date</p> <p> Signature of Applicant, Attorney or Agent of Record</p> <p>CHARLES P. SAMMUT, REG. NO. 28,901 Typed or printed name</p> | | | | | | | | |

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PATENT



-1-

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|----------------------------------|---|-------------------------------|
| In re Application for Reissue of |) | Applications Branch |
| |) | |
| Patent No. 5,870,710 |) | |
| Issued: February 9, 1999 |) | |
| |) | FOREIGN PRIORITY CLAIM |
| Inventors: Kazunori Ozawa et al. |) | |
| |) | 350 Woodside Avenue |
| Application No. 08/785,958 |) | Mill Valley, CA 94941-3822 |
| |) | (415) 383-8341 |
| Filed: January 22, 1997 |) | |
| |) | Atty Docket: SONY-R7018 |
| For: AUDIO TRANSMISSION, |) | |
| RECORDING AND REPRODUCING |) | |
| SYSTEM (AS AMENDED) |) | |
| _____ |) | |

Box REISSUE
Commissioner for Patents
Washington, D.C. 20231

Sir:


In accordance with the provisions of MPEP §1417 and §201.14(b), applicants hereby claim the benefit of a foreign filing date under 35 U.S.C. §119 for Japanese Patent Application No. P08-030004 filed in Japan on January 24, 1996.

Such priority was claimed in the subject U.S. Patent No. 5,870,710 and a certified copy of the priority document has been filed therein. The subject patent was filed on January 22, 1997 as Application No. 08/785,958 by Kazunori Ozawa, Nobuhiro Tone and Masahiro Asai, for AUDIO TRANSMISSION, RECORDING AND REPRODUCING SYSTEM. Accordingly, under the provisions of

MPEP §201.14(B) it is not necessary to file an additional certified copy in the present reissue application.

Respectfully submitted,

Dated: January 23, 2001

By: 
Charles P. Sammut
Reg. No. 28,901

Attorney for Applicants

Attorney Docket No. SONY-R7018